

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the Application of: )  
MARVIN RUFFIN ) Examiner:  
 ) Group Art Unit:  
Application Serial No.: )  
 )  
Filing Date: April 14, 2004 )  
 )  
Title: MULTIPLE LED FOCUSED )  
LIGHTING DEVICE )

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached form PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

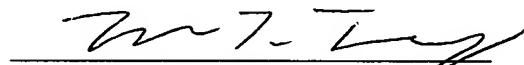
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitutes “prior art.” If it should be determined that the listed documents do not constitute “prior art” under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this statement, please charge the fee to our Deposit Account No. 13-0019.

Respectfully submitted,

Date: April 14, 2004



Wayne L. Tang, Reg. No. 36,028

MAYER, BROWN, ROWE & MAW LLP.  
P.O. Box 2828  
Chicago, IL 60690-2828

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary) Sheet 1 of 3		ATTY. DOCKET NO. 04263334		SERIAL NO.		
		APPLICANT Marvin Ruffin				
		FILING DATE April 14, 2004		GROUP		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	2003/0235800A1	12.25.03	Qadar			
	2004/0043351A1	3.4.04	Logan			
	2003/0112639A1	6.19.03	Stack			
	5,782,555	7.21.98	Hochstein			
	2004/0057251A1	3.25.04	Isaacson et al.			
	2004/0051482A1	3.18.04	Fregoso			
	6,443,594 B1	9.3.02	Marshall			
	2003/0198061A1	10.23.03	Chambers et al.			
	2003/0219207A1	11.27.03	Guy			
	2003/0147254A1	8.7.03	Yoneda et al.			
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
McLaughlin, Robert, "Intelligent Algorithms For Finding Curves And Surfaces In Real World Data, 2000, pp. 27-51						
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this formation with next communication to applicant.						
Information Disclosure Statement – Section 9 PTO-1449 (Modified) [6-1] page 1 of 1						

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary) Sheet 2 of 3		ATTY. DOCKET NO. 04263334		SERIAL NO.		
		APPLICANT Marvin Ruffin				
		FILING DATE April 14, 2004		GROUP		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	2002/0126503A1	9.12.02	Reed			
	6,611,110 B1	8.26.03	Fregoso			
	6,272,269 B1	8.7.01	Naum			
	6,595,674 B1	7.22.03	Yoneda			
	3,932,761	1.13.76	Ramsey et al.			
	6,679,621 B2	1.20.04	West et al.			
	6,639,733 B2	10.28.03	Minano et al.			
	6,529,318 B1	3.4.03	Kaneda et al.			
	6,328,484 B1	12.11.01	Uebbing			
	5,806,955	9.15.98	Parkyn, Jr. et al.			
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
McLaughlin, Robert, "Intelligent Algorithms For Finding Curves And Surfaces In Real World Data, 2000, pp. 27-51						
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this formation with next communication to applicant.						

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary) Sheet 3 of 3		ATTY. DOCKET NO. 04263334		SERIAL NO.		
		APPLICANT Marvin Ruffin				
		FILING DATE April 14, 2004		GROUP		
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	6,473,554 B1	10.29.02	Pelka et al.			
	6,290,382 B1	9.18.01	Bourn et al.			
	5,732,176	3.24.98	Savage, Jr.			
	5,548,676	8.20.96	Savage, Jr.			
	4,824,201	4.25.89	Kazovsky			
FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
McLaughlin, Robert, "Intelligent Algorithms For Finding Curves And Surfaces In Real World Data, 2000, pp. 27-51						
EXAMINER		DATE CONSIDERED				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this formation with next communication to applicant.						
Information Disclosure Statement – Section 9 PTO-1449 (Modified) [6-1] page 1 of 1						